

International Journal of Applied Mathematics in Control Engineering

Journal homepage: <http://www.ijamce.com>

Fault Diagnosis of Analog Circuit based on Extreme Learning Machine

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ARTICLE INFO

Article history:

Received 12 April 2017

Accepted 15 July 2017

Available online 25 December 2017

Keywords:

Weighted extreme learning machine

Extreme learning machine

Fault diagnosis

Analog circuit

ABSTRACT

In electronic system, analog circuits are more likely to get wrong, it is very significant to research the fault diagnosis of analog circuits in the theory and practice. Extreme learning machine (ELM) has quick learning speed and good generalization performance, which randomly chooses the input weights and analytically determines the output weights. Weighted extreme learning machine (WELM) could improve the accuracy of analog circuit fault diagnosis for imbalance samples. ELM and WELM are applied to fault diagnosis of analog filter circuit in this paper. The simulation results of examples show that the fault diagnosis methods based on ELM have good diagnosis effect and feasibility and can diagnose the faults in analog circuits correctly.

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1. Introduction

Through years of research and development, the fault diagnosis of analog circuit has preliminarily laid the foundation of fault diagnosis theory. General diagnosis method for analog circuits, basically depends on the analog circuit diagnosis equation or optimization model. But there are some shortcomings such as large amount of calculation, lack of flexibility, and even there is no way to get a diagnostic equation. With the development of artificial intelligence methods, some problems have been improved to some extent. It needn't establish the fault model of the analog circuit, and the fault features could be extracted by the input data easily, then the faults could be diagnosed by fault features. As a result, many intelligent methods are applied in fault diagnosis. AminianF and AminanM used wavelet transform and principal component analysis to extract feature, and used the neural network to diagnose the fault^[1-2]. Catelani M used radial basis neural network and fuzzy rules to study the soft faults of analog circuits^[3], Cannas B studied analog fault diagnosis based on neural network using testability analysis^[4-5]. Based on the theory of one-dimensional neural network, D zhao put forward the concept and theory of multi-dimensional neural networks, which is applied in the field of analog circuit fault diagnosis^[6-7]. However, the following defects are commonly found in the diagnosis process: slowly convergence rate, the local optimal, low accuracy of fault diagnosis. Compared with the traditional neural network, Extreme Learning Machine (ELM), which is proposed by G.B.Huang is not easy to fall into local

optimal, with good generalization performance and fast learning speed, which is suitable for solving classification and fitting problems^[8]. Extreme learning machine is constantly improved for multi-categories classification and regression based on optimization method^[9-11]. L Zhang proposes a learning algorithm which is based on the differential evolution extreme learning machine (DE-ELM) for parameter optimization of ELM^[12-13]. Xiong J presents a novel fault diagnosis method for analog circuits using ensemble empirical mode decomposition, relative entropy, and extreme learning machine^[14-16]. But the unbalance sample data in practice problems affects the accuracy of fault diagnosis. Weighted extreme learning machine (WELM) could solve the problems to a certain extent and greatly improve the accuracy of analog circuit fault diagnosis^[17]. In the paper, WELM is combined with the fault diagnosis theory to diagnose fault of analog circuit.

2. Extreme learning machine(ELM)

2.1 Extreme learning machine

Extreme learning machine (ELM) is a typical single hidden layer feed-forward networks whose structure is shown in Fig.1.

In Fig.1, the input layer contains n neuron nodes, which are corresponding to n input variables; the hidden layer contains K neuron nodes, W is the connection weight matrix between the input layer and the hidden layer,

$$w = \{w_{jt}\} \quad (j = 1, 2, \dots, K; t = 1, 2, \dots, n) \quad (1)$$

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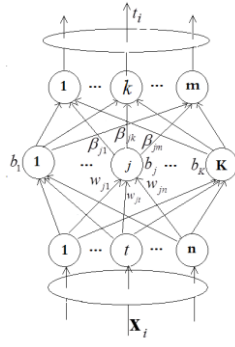


Fig.1 ELM architecture

where w_{jt} is the connection weight value linking the j^{th} neuron node of hidden layer with the t^{th} neuron node of input layer, and b_j is the threshold of the j^{th} hidden layer neurons node. The output layer contains m neuron nodes. β is the connection weight between the hidden layer and output layer ,

$$\beta = \{\beta_{jk}\} \quad (j = 1, 2, \dots, K; \quad k = 1, 2, \dots, m) \quad (2)$$

where β_{jk} is the connection weight value between the j^{th} hidden layer neurons node and the K^{th} neuron node of input layer.

Assume there are N samples, and each of them contains n attributes, the network input and expectations output are indicated as the following,

$$\mathbf{x}_i = [x_{i1} \quad x_{i2} \quad \dots \quad x_{in}]^T \quad (3)$$

$$\mathbf{y}_i = [y_{i1} \quad y_{i2} \quad \dots \quad y_{im}]^T \quad (i = 1, 2, \dots, N) \quad (4)$$

The activation function of the hidden neurons node can choose Sigmoid , RBF and so on. The actual output of the network is formulated as:

$$\mathbf{T} = [t_1 \quad t_2 \quad \dots \quad t_i \quad \dots \quad t_N] \quad (5)$$

$$t_i = \begin{bmatrix} t_{i1} \\ t_{i2} \\ \vdots \\ t_{im} \end{bmatrix} = \begin{bmatrix} \sum_{j=1}^K \beta_{j1} g(w_j x_i + b_j) \\ \sum_{j=1}^K \beta_{j2} g(w_j x_i + b_j) \\ \vdots \\ \sum_{j=1}^K \beta_{jm} g(w_j x_i + b_j) \end{bmatrix} \quad (i = 1, 2, \dots, N) \quad (6)$$

where $w_j = [w_{j1}, w_{j2}, \dots, w_{jn}]$, The training example is approached with zero error by ELM defined in the above, that is

$$\sum_i^N \|t_i - y_i\| = 0 \quad (7)$$

The above can be written into the matrix form:

$$\mathbf{Y}^T = \mathbf{H} \beta \quad (8)$$

$$\mathbf{H} = \begin{bmatrix} g(w_1 x_1 + b_1) & \dots & g(w_K x_1 + b_K) \\ \vdots & & \vdots \\ g(w_1 x_N + b_1) & \dots & g(w_K x_N + b_K) \end{bmatrix}_{N \times K} \quad (9)$$

$$\beta = \begin{bmatrix} \beta_{11} & \beta_{12} & \dots & \beta_{1m} \\ \beta_{21} & \beta_{22} & \dots & \beta_{2m} \\ \vdots & \vdots & & \vdots \\ \beta_{K1} & \beta_{K2} & \dots & \beta_{Km} \end{bmatrix}$$

$$\mathbf{Y} = \begin{bmatrix} y_1 & y_2 & \dots & y_N \\ y_{m1} & y_{m2} & \dots & y_{mN} \end{bmatrix}_{m \times N} = \begin{bmatrix} y_{11} & \dots & y_{1N} \\ \vdots & & \vdots \\ y_{m1} & \dots & y_{mN} \end{bmatrix} \quad (10)$$

where \mathbf{H} represents the output matrix of the hidden layer. When the activation function is infinitely differentiable, the connection weight and the threshold of the hidden layer can be chosen randomly. These parameters remain the same in the training process. The connection weight value between the hidden layer and output layer could be obtained by solving the equation set

$$\mathbf{H} \hat{\beta} - \mathbf{Y}^T = 0 \quad (11)$$

The least-squares solution is shown below,

$$\hat{\beta} = \mathbf{H}^+ \mathbf{Y}^T \quad (12)$$

\mathbf{H}^+ is generalized inverse matrix of \mathbf{H} . When $\mathbf{H}^T \mathbf{H}$ is nonsingular matrix^[15],

$$\mathbf{H}^+ = (\mathbf{H}^T \mathbf{H})^{-1} \mathbf{H}^T \quad (13)$$

In ELM, The hidden layer parameters are assigned randomly , the weight of the output layer is be gotten by least-squares method, so ELM has the advantage of the strong generalization ability and fast training speed and so on.

The steps of learning machine algorithm can be summarized as follows,

Step1: Ascertain the number of the neurons nodes of the hidden layer, then set randomly the connection weight of the input layer and the hidden layer and the threshold of the hidden layer neurons node.

Step 2: Choose an activation function, calculate the output matrix of the hidden layer neurons node.

Step 3: Calculate the output weight.

2.2 Weighted extreme learning machine

Although ELM has strong generalization ability and fast training speed, its classification performance tends to decrease significantly when the distribution of sample data is unbalanced. H.L. Yu analyzes the reasons why the imbalance distribution of the sample is harmful to the performance of the extreme learning machine. To deal with data with imbalanced distribution^[15]. Zong proposed the weighted ELM which could be generalized to cost sensitive learning, by assigning different weights for each example according to users' needs^[16]. The objective function WELM is

$$\begin{cases} \text{Minimize } L = \frac{1}{2} \|\beta\|^2 + \lambda \frac{1}{2} \sum_{i=1}^N (W_i \|\varepsilon_i\|)^2 \\ \text{Subject to : } h(x_i) \beta = t_i - \varepsilon_i \end{cases} \quad (14)$$

Where \mathbf{W} is a diagonal matrix with the size of $N \times N$, W_i is the corresponding weight of the i^{th} training sample. If minority sample is given greater weight than majority sample, penalty of training error will be increased, and the misclassification probability will be reduced. λ is the penalty factor to regulate the balance between generalization and accuracy of network. There are usually two kinds of weighting schemes, one is the automatic weighting scheme, and the calculation formula is as follows:

$$W_i = 1 / \text{count}(t_i) \quad (15)$$

Where W_1 represents the first weighting method,

$\text{count}(t_i)$ means that the number of the sample which output set is t_i in the training.

The training samples are divided into minority class and majority class according the number of training samples in the second weighted scheme. It follows the idea of assigning weights by 0.618:1 for minority class and majority class. Its calculation formula is as follows:

$$W_2 = \begin{cases} 0.618 / \text{count}(t_i), & t_i \text{ is majority class} \\ 1 / \text{count}(t_i), & t_i \text{ is minority class} \end{cases} \quad (16)$$

It can be seen from the above formula that the classification precision of minority class is improved by reducing the classification precision of majority class in the second weighted schemes.

Therefore, the weighted schemes has to be selected according to the characteristics of the training samples to ensure the accuracy of the diagnosis.

The formula to calculate the output weight of the hidden layer is as follows:

$$\hat{\beta} = H^+ Y = H^T \left(\frac{I}{\lambda} + WHH^T \right)^{-1} Y \quad (N \leq K) \quad (17)$$

$$\hat{\beta} = H^+ Y = \left(\frac{I}{\lambda} + H^T WH \right)^{-1} H^T WY \quad (N \geq K)$$

The corresponding output function is:

$$f(x) = \begin{cases} h(x) H^T \left(\frac{I}{\lambda} + WHH^T \right)^{-1} Y & (N \leq K) \\ h(x) \left(\frac{I}{\lambda} + H^T WH \right)^{-1} H^T WY & (N \geq K) \end{cases} \quad (18)$$

The algorithm of WELM can be summarized as follows:

Step1: Set the number of hidden layer node K and penalty factor λ , set randomly the connection weight of the input layer and the hidden layer and the threshold of the hidden layer neurons node.

Step2: Calculate the hidden layer output matrix H;

Step3: Select the appropriate weighted scheme to calculate the sample weighted matrix W;

Step4: Calculate the connection weight of hidden layer output layer $\hat{\beta}$;

Step5: Calculate network output.

3. Fault diagnosis of analog filter circuit

3.1 Fault sample data of filter circuit

Sallen Key filter^[17] is regarded as the example of analog circuit for fault diagnosis. The circuit schematic diagram is shown in Fig.2, where the input signal of filter is sine function. The tolerance of capacitances and resistances is -5%~+5% and -10%~+10% respectively.

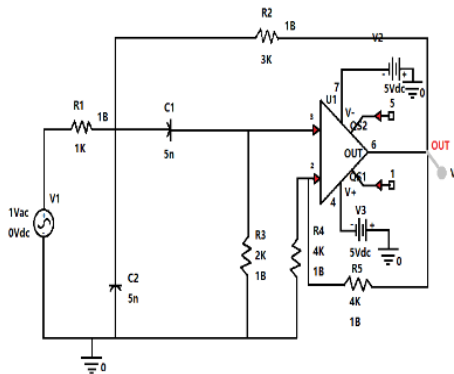


Fig. 2 schematic diagram of filter circuit

The fault of capacitances and resistances such as C_1 , C_2 , R_2 , R_3 have great effect on filter circuit by sensitivity analysis. It is easy to measure and extract the corresponding fault characteristic.

To improve reliability of the data, in the frequency range of 1 ~ 100kHz, the node voltage of four components which is under the frequency of 2kHz, 9kHz, 18kHz, 35kHz, 48kHz and 86kHz are randomly chosen as the sample data. It is shown in tab.1.

The data in Table 1 is divided into training set and test set, serial number 1 is the fault of capacitance C_1 , serial number 2 is the fault of capacitance C_2 , serial number 3 is the fault of resistance R_2 , serial number 4 is the fault of resistance R_3 . The number of all kinds of the sample is shown in Tab.2.

Tab.1 Part sample data

| Fault type | 2kHz | 9kHz | 18kHz | 35kHz | 48kHz | 86kHz |
|------------|-------|-------|-------|-------|-------|-------|
| 1 | 0.07 | 0.67 | 0.99 | 0.87 | 0.70 | 0.52 |
| 1 | 0.12 | 0.99 | 1.28 | 0.98 | 0.78 | 0.57 |
| 1 | 0.10 | 0.85 | 1.36 | 0.75 | 0.75 | 0.60 |
| 1 | 0.09 | 0.80 | 0.87 | 0.89 | 0.64 | 0.51 |
| 1 | 0.09 | 0.68 | 0.92 | 0.84 | 0.68 | 0.60 |
| 2 | 1.014 | 1.143 | 1.231 | 1.309 | 1.489 | 1.555 |
| 2 | 1.062 | 1.127 | 1.238 | 1.331 | 1.408 | 1.545 |
| 2 | 1.027 | 1.166 | 1.281 | 1.391 | 1.41 | 1.508 |
| ... | ... | ... | ... | ... | ... | ... |
| 2 | 1.028 | 1.149 | 1.276 | 1.359 | 1.428 | 1.572 |
| 2 | 1.052 | 1.169 | 1.288 | 1.364 | 1.469 | 1.568 |
| 3 | 13.4 | 14.97 | 15.75 | 16.27 | 17.99 | 18.94 |
| 3 | 13.38 | 14.03 | 15.1 | 16.25 | 17.2 | 18.61 |
| ... | ... | ... | ... | ... | ... | ... |
| 4 | 21.31 | 22.14 | 24.98 | 27.85 | 28.06 | 29.91 |
| 4 | 20.36 | 23.54 | 24.11 | 27.69 | 28.14 | 28.16 |

Tab.2 The number of all kinds of samples

| Fault number | fault type | training sample set | test Sample set |
|--------------|------------|---------------------|-----------------|
| 1 | C_1 | 5 | 5 |
| 2 | C_2 | 10 | 5 |
| 3 | R_2 | 10 | 5 |
| 4 | R_3 | 8 | 6 |

3.2 Fault diagnosis of filter circuit based on ELM

Using the input and output data of the training set sample, an extreme learning machine fault classification model can be established. The specific steps are as follows:

(1) Determine input matrix of the training set and test set.

In table 1, each sample contains 6 features corresponding to the input voltage of 6 different frequency, that is, each sample has 6 properties. The training set input matrix can be expressed as $X = [x_{i1}, x_{i1}, \dots, x_{i6}]$ ($i = 1, 2, \dots, 33$); The test set input matrix can be expressed as $X = [x_{i1}, x_{i1}, \dots, x_{i6}]$ ($i = 34, 35, \dots, 54$).

(2) Determine network structure and parameters of extreme learning machine

Determine network structure and parameters of extreme learning machine, which means that the input layer, hidden layer, output layer node number of ELM are determined according to the characteristics of the sample and the type of fault. Each sample in table 1 has 6 properties, The 54 samples contain four types of fault in table 2. Corresponding to the sample properties and fault types, the ELM input layer includes 6 neuron nodes and the output layer includes 4 neuron nodes, if the number of hidden layer neurons is 120, the ELM network structure is (6-120-4). The parameter setting of the extreme learning machine include the input layer and the hidden layer connection weight matrix w_i , hidden layer neuron threshold matrix b , set w_i is the random number from -1 to 1, and its dimension is 120×6 , b is the random number from 0 to 1, and its dimension is 120×1 .

(3) Establish output matrix of training set and test set.

For the convenience of training, testing and fault diagnosis, the fault type of the filter circuit is represented by 0 and 1, the desired output matrix of training set and a test set are composed of codes 0 and 1, which represent the fault type of the filter circuit; Each column of the desired matrix corresponds to the neuron node of output layer of the extreme learning machine. If the fault type of the j th ($j = 1, 2, \dots, 54$) sample is i ($i = 1, 2, 3, 4$), then the elements in the i th row and j th column of the desired output matrix are 1, and the other elements in the j th column are 0, That means, in the expected output matrix, the row and column which element 1 is in indicate the fault type and the sample number respectively, the corresponding relationship between the fault type and the output node ELM of are shown in Tab.3.

Tab.3 Relationships of fault types and output of neurons

| serial number | fault type | expected output | | | | | | | | | | | |
|---------------|------------|-----------------|-----|---|---|-----|----|----|-----|----|----|-----|----|
| | | 1 | ... | 5 | 6 | ... | 15 | 16 | ... | 25 | 26 | ... | 54 |
| 1 | C_1 | 1 | ... | 1 | 0 | ... | 0 | 0 | ... | 0 | 0 | ... | 0 |
| 2 | C_2 | 0 | ... | 0 | 1 | ... | 1 | 0 | ... | 0 | 0 | ... | 0 |
| 3 | R_2 | 0 | ... | 0 | 0 | ... | 0 | 1 | ... | 1 | 1 | ... | 0 |
| 4 | R_3 | 0 | ... | 0 | 0 | ... | 0 | 0 | ... | 0 | 0 | ... | 1 |

The 33 columns data corresponding to the training set input matrix are selected from table 3 to form expectation output matrix of the training set, its dimension is 4×33 , the remaining 21 columns in table 3 constitute the expectation output matrix of test set, and its dimension is 4×21 .

(4) Calculate the output matrix H of the hidden layer neuron

The activation function $g(\cdot)$ adopts the sigmoid function,

$$g(w_i x + b_i) = \frac{1}{1 + e^{(-w_i x + b_i)}} \quad (19)$$

The output matrix of the hidden layer neuron $H_{N \times K}$ can be obtained by substituting each row of the normalized input matrix of training set into the sigmoid activation function.

(5) Calculate the output weight

Substitute the expected output matrix H of the training set and the hidden layer neuron into equation (12), and the estimated value of the output weight matrix $\hat{\beta}$ can be obtained. The ELM classifier model for fault diagnosis is the following,

$$Y^T = H\hat{\beta}$$

(6) Test the classification accuracy using the trained ELM

The sample under test is substituted into the base classifier model, the fault type of the sample can be determined according to which low the maximum value of the sample output is. The diagnostic results of the training set and test set are shown in Fig.3 and Fig. 4.

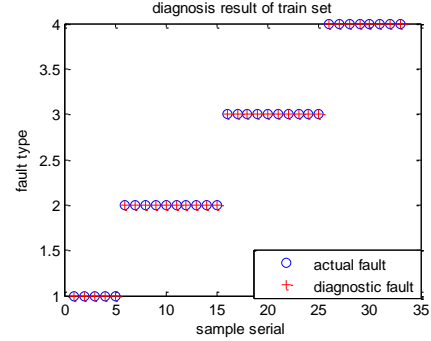


Fig.3 Diagnosis result of train test based on ELM

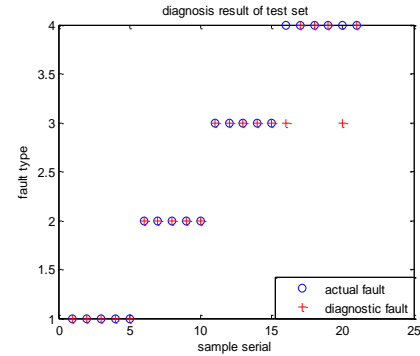


Fig.4 Diagnosis result of test set based on ELM

Fig. 3 shows that all 33 samples of the training set are correctly classified, it can be seen from Fig.4 that the 16th and 20th sample in the test concentration are misclassified, 19 samples are correctly classified, and the overall classification accuracy of the test set is 90.47% (19/21).

3.2 Fault diagnosis of filter circuit based on WELM

WELM is an improved algorithm based on ELM to deal with the imbalance data. WELM can be performed as long as the weighted matrix W and penalty factor λ the parameters are determined. The main steps are as follows,

(1) Determine the weighted matrix of each sample

For Sallen Key filter analog, using the data in Tab.1 and Tab.3, the weighted matrix for fault types of train set is assigned by formula (15).

$$W = \begin{bmatrix} 0.2 & 0 & 0 & 0 \\ 0 & 0.1 & 0 & 0 \\ 0 & 0 & 0.1 & 0 \\ 0 & 0 & 0 & 0.125 \end{bmatrix}$$

(2) Calculate output matrix H

According the step 4 of ELM algorithm calculate the output matrix H of the hidden layer neuron.

(3) Select penalty factor λ

The punishment factors λ is searched in the range of [30-3030]. The data was divided into 100 groups in sequence, each group is an minimal interval. The average classification of train set and test set is obtained in different interval. The results are shown in the Fig.5. The average accuracy of the 80th groups reaches 93 percent, and

then it is leveling off after that. The 84th interval is selected as more accurate range of the punishment factors λ . λ is in the range of (252-282). λ is selected 260 by trial and error.

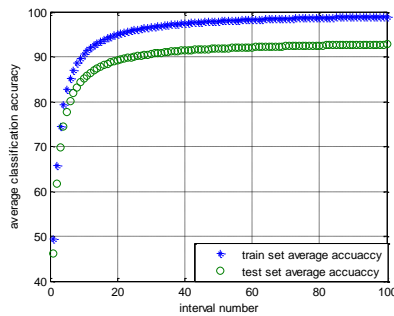


Fig 5 Average classification accuracy of different interval

(4) Output weight of the hidden layer

Because the number of hidden layer nodes is more than the samples, the output weight of the hidden layer is calculated by the first equation in (17)

That is,

$$\hat{\beta} = H^+ Y = H^T (\frac{I}{\lambda} + W H H^T)^{-1} Y$$

Finally network output could be obtained, the diagnosis result based on WELM is shown in Fig.6 and Fig.7.

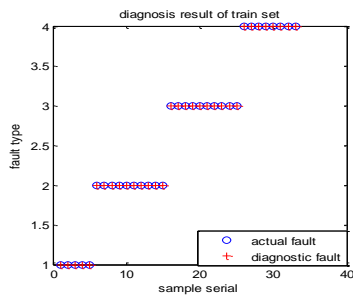


Fig.6 Diagnosis result of train test based on WELM

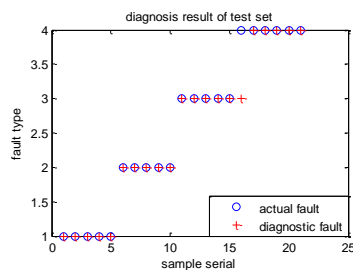


Fig.7 Diagnosis result of test set based on WELM

Fig.6 shows that all 33 samples of the training set are correctly classified, it can be seen from Fig.7 that the 16th sample in the test set are misclassified, 20 samples are correctly classified, and the overall classification accuracy of the test set is 95.238% (20/21).

The comparison of diagnosis result of different algorithm is shown in Tab.4.

Tab.4 Comparison of diagnosis result

| Algorithm | total number of samples | Accuracy of training set (%) | Accuracy of test set (%) |
|-----------|-------------------------|------------------------------|--------------------------|
| ELM | 54 | 100% | 90.4762% |
| WELM | 54 | 100% | 95.2380% |

It can be seen from Tab.4 that the two algorithms have the same accuracy for training set, but for the test set WELM has the higher classification accuracy than ELM.

4. Summary

The Sallen Key analog filter circuit is diagnosed based on ELM and WELM. There are quick learning speed and good generalization performance in the two methods. For imbalance samples, weighted extreme learning machine has improved the accuracy of analog circuit fault diagnosis to some extent. ELM and WELM are applied to fault diagnosis of analog filter circuit in this paper. The simulation results of examples show that the two algorithms have the same accuracy for training set, but for the test set WELM has the higher classification accuracy than ELM.

Acknowledgements

This work has been partly supported by National Natural Science Foundation (NO. 61673160), Hebei Education Department Program (ZD2016053, Z2011141, Z2015097), Major Foundation of Hebei Normal University (L2013Z06), Hebei Province Science and Technology Department Program (15212115).

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